

St Thomas à Becket Church of England Federation

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# Whistleblowing Policy - Raising Concerns

*Our Vision is to raise the aspiration of all pupils to “Be the Very Best they can Be”, through providing an engaging and Christian environment alongside an exciting broad and balanced curriculum striving to develop the skills, attitudes and Christian values of the whole Federation Community to face the new challenges of the 21<sup>st</sup> century with confidence.*

Our Christian ethos, vision and values underpin all we do.

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St Thomas à Becket Church of England Federation is referred to as the Federation throughout this document. This Federation Policy provides a clear framework which is intended to give employees the confidence to raise workplace concerns without fear of reprisal or victimisation.

Whistleblowing is the raising of a concern, either within the workplace or externally, about a danger, risk, malpractice or wrongdoing which affects the Federation or others.

### **Key Points**

- The public have an expectation that we will run the Federation with the highest standards of openness and integrity.
- This policy encourages, enables and reassures all employees to raise workplace concerns about risk, malpractice and wrongdoing. The principles of this policy apply equally to Governors.
- Employees will not be penalised, victimised or harassed for honestly raising workplace concerns and this policy provides assurance that you will be protected.
- All concerns will be treated in confidence and listened to. You are encouraged to put your name to allegations, as anonymous concerns are more difficult to investigate and provide feedback. However, anonymous concerns can still be raised.
- This policy is about raising a workplace concern where the risk is possibly to the organisation or others, as opposed to a grievance.

### **Introduction**

At one time or another, all of us may experience concerns about what is happening at work. Usually these concerns are easily resolved. However, when the concern feels serious because it involves possible health and safety, or a person's welfare, malpractice or a wrongdoing that might affect others or the Federation, it can be difficult to know what to do.

You may be worried about raising such a concern and may think it is best not to say anything, or keep it to yourself, perhaps feeling it's none of your business or that it is only a suspicion. You may feel you would be disloyal to your colleagues, manager or to the Federation if you raised it. You may decide to say something but find that you have spoken to the wrong person, you may have raised it before and nothing was done or you may have raised it in the wrong way and are not sure what to do next.

The public have an expectation that we will run the Federation with the highest standards of openness and integrity. Furthermore, the Governing Body is committed to running the Federation in the best way possible and to do so, your help is needed. This policy is designed to reassure you that it is safe and acceptable to speak up and raise any workplace concerns you may have and that you are showing loyalty and commitment to the Federation by raising concerns.

Rather than wait for proof, it is preferred that you raise the matter as early as possible and when it is still a concern. Speaking out early could stop the issue from becoming more serious, dangerous or damaging.

The Whistleblowing Policy is primarily for concerns where the interests of the organisation or others are at risk. Therefore, if something is troubling you that you think we should know about and look into, please use this policy.

### **Who does this Policy apply to?**

This policy applies to all Federation employees whether full-time or part-time.

In addition, the policy applies to Governors, all contractors and their staff working for the Federation e.g. agency staff, consultants and builders; also providers of works, services and supplies, including the Federation's external contractors and those providing services under a contract with the Federation in their own premises.

### **Our assurances to you**

The Governing Body is committed to this policy. If you raise a genuine concern under this policy, you will not be at risk of losing your job or suffering from any form of retribution as a result. Provided you are acting honestly and in good faith, it does not matter if you are mistaken. Of course, this assurance is not extended to someone who maliciously raises a matter they know not to be true.

With these assurances, we hope that you will raise your concern openly. However, we do recognise that there may be circumstances when you would prefer to speak to someone in confidence first. If this is the case, please say so at the outset. If you ask us not to disclose your identity, we will not do so without your consent unless required to do so by law. Please understand that there may be times when we are unable to resolve a concern without revealing your identity and in such cases, we will discuss this, and how best to proceed, with you.

Please remember that if you do not tell us who you are (report it anonymously) it will be much more difficult for us to look into the matter. We will also not be able to protect your position or provide feedback.

If you are unsure about raising a concern, you can get independent advice from the independent whistleblowing charity Public Concern at Work (see contact details under External Contacts).

### **What kind of concerns would I disclose under this Policy?**

This policy covers any serious concerns about any aspect of provision or the conduct of officers or Governors or others acting on behalf of the Federation. This could be something against Federation policy; that falls below established standards of practice; or which relates to improper conduct or criminal activity (including fraud).

Concerns that fall within this policy could include, but are not limited to:

- Practice that puts people or the Federation at risk;
- a criminal offence has been committed, is being committed or is likely to be committed;
- failure or likely failure to comply with any legal obligations;
- health and safety risks, including risks to the public;
- damage to the environment;
- something that is against Federation standing orders and policies;
- information relating to any of these concerns that is being or is likely to be deliberately concealed;
- weaknesses in procedure(s) that could put the organisation or people at risk.

This list is not exhaustive and even if your concern does not fall into any of the categories above, you are encouraged to raise it.

Please note that the Grievance and Workplace Conflict Policy exists to enable you to lodge a grievance relating to any matter concerning your own employment or how you have been treated, that you are unhappy about. It is very important that the Whistleblowing Policy is not used to raise individual grievances, and nor is it to be a mechanism for challenging decisions, practices and policies with which you disagree.

### **How do I raise a concern internally?**

Please remember that you do not have to have firm evidence before raising a concern. You only need to have a reasonable belief that wrongdoing is taking place. Any evidence you can provide will be useful in helping the Federation investigate your concern, although it could be that you are not able to provide any evidence. We do ask that you explain as fully as you can the information or circumstances that gave rise to your concern.

Please raise your concern(s) either orally or in writing, to your Headteacher or the Chair of Governors. It is your choice as to how you raise a concern. You may wish to communicate via email, over the phone, or arrange a meeting away from the workplace.

If your concern relates to East Sussex County Council (ESCC), they have a Confidential Reporting Hotline which can be used to report concerns. This can be accessed by either dialling **01273 481995** or emailing: **confidentialreporting@eastsussex.gov.uk**. Please note this hotline is monitored by Internal Audit.

Should you not wish to report your concerns using the normal management ESCC structure or the Confidential Reporting Hotline, or if your concerns are about management, you can use any of the contacts listed below:

- The Chief Executive, County Hall – 01273 481950
- The Assistant Chief Executive, County Hall – 01273 481564
- The Chief Operating Officer, County Hall – 01273 481412
- The Chief Finance Officer, Business Services, County Hall – 01273 335078

- The Head of Assurance, Business Services, County Hall – 01273 481447

If you wish to raise your concerns in writing to any of the above, please address your letter to:

County Hall  
St Anne's Crescent  
Lewes BN7 1UE

Federation employees whose concerns relate to a Federation Headteacher may wish to approach the Chair of Governors, the Director of Children's Services, or any of the individuals listed above.

Remember to allow us to investigate and assess the situation it would be helpful to provide as much information as possible. We encourage you to put your name to allegations, as anonymous concerns are more difficult to investigate, and we want to be able to take any appropriate action to protect you and provide you with feedback.

### **How will the Federation respond?**

Once you have told us your concern, we will assess it and consider what action may be appropriate. This may involve a formal review, an internal enquiry or a more formal investigation. We will tell you who will be your point of contact, what further assistance we may need from you, and agree a timetable for feedback. If you ask, we will write to you summarising your concern and setting out how we propose to handle it. If we have misunderstood the concern or if there is any information missing, please let us know.

When you raise the concern, it will be helpful to know how you think the matter might be best resolved. If you have any personal interest in the matter, we do ask that you tell us at the outset. If we think your concern falls within our Grievance or Workplace Conflict policy or another relevant policy, we will let you know.

Regardless of what action may be appropriate in resolving your concern, we will not tolerate victimisation or harassment, and will take all necessary steps to protect you from any detriment.

Wherever possible, we will give you feedback on the outcome of any investigation. Please note, however, that we might not be able to tell you about the precise actions we take where this would infringe the duty of confidence we owe to other persons.

While we cannot guarantee that we will respond to all matters in the way that you might wish, we will strive to handle the matter fairly and properly. By using this policy, you will help us to achieve this.

## External Contacts

It is hoped that this policy gives you the reassurance to raise matters internally within the Federation. Whilst we would prefer you to raise your concern internally, we do recognise that there may be circumstances where you may wish to raise matters with outside organisations or regulators. In fact, we would rather you raised a matter with an appropriate outside organisation or regulator than not raise it at all.

If you are unsure whether to use this policy or you want confidential advice at any stage, you may contact the independent charity Public Concern at Work's whistleblowing helpline on 020 7404 6609, or by email via [whistle@pcaw.org.uk](mailto:whistle@pcaw.org.uk).

Regulator/Organisation	Contact details
<p><b>Health and Safety Executive</b>  <a href="http://www.hse.gov.uk/contact/concerns.htm">http://www.hse.gov.uk/contact/concerns.htm</a></p> <p>Protect people against the risk to health or safety arising out of work activities.</p>	<p>HSE Concerns Team</p> <p>Phone: 0300 0031647 in office hours, (Monday – Friday from 8.30am – 5.00pm)</p> <p>Email: <a href="mailto:concerns@hse.gsi.gov.uk">concerns@hse.gsi.gov.uk</a></p>
<p><b>Ofsted</b>  <a href="http://www.ofsted.gov.uk/contact-us/whistleblower-hotline">http://www.ofsted.gov.uk/contact-us/whistleblower-hotline</a></p> <p>Inspect and regulate services which care for children and young people, and those providing education and skills for learners of all ages.</p>	<p>Phone: 0300 123 3155 (Monday to Friday from 8.00am -6.00pm).</p> <p>Email: <a href="mailto:whistleblowing@ofsted.gov.uk">whistleblowing@ofsted.gov.uk</a>.</p> <p>Write: WBHL, Ofsted, Piccadilly Gate, Store Street, Manchester, M1 2WD</p>
<p><b>East Sussex County Council – District Auditor</b></p>	<p>Phone: 01273 481711</p> <p>Write: County Hall, St Anne's Crescent, Lewes, East Sussex, BN7 1UE</p>
<p><b>Public Concern at Work</b>  <a href="http://www.pcaw.org.uk/">http://www.pcaw.org.uk/</a></p> <p>An independent charity which run a confidential whistleblowing helpline.</p>	<p>Phone: 020 7404 6609</p> <p>Email: <a href="mailto:whistle@pcaw.org.uk">whistle@pcaw.org.uk</a></p> <p>Write: Public Concern at Work, Suite 301, 16 Baldwins Gardens, London EC1N 7RJ</p>

## Review

This policy will be reviewed as it is deemed appropriate, but not less frequently than every two years. The policy will be reviewed by the Federation's Headteachers and the Governing Body's Policies Working Party.