

**St Thomas à Becket Church of England Federation**

**Blackboys C.E. School**  
School Lane  
Blackboys  
Uckfield  
East Sussex  
TN22 5LL



**Framfield C.E. School**  
The Street  
Framfield  
Uckfield  
East Sussex  
TN22 5NR

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# **Complaints Policy and Procedure**

*In our Church of England Federation, we take strength in collaboration, yet celebrate uniqueness. As schools, we may be small, but together we encourage children to be the best they can be so they are ready to make their mark on the world. We welcome the child yet embrace the whole family. We celebrate our rural environment yet aspire to look beyond our boundaries. We strive for knowledge and gratefully accept opportunities that come our way to enable us to live life in all its fullness. We all take a pride in our Federation as we follow in Jesus's example.*

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## St Thomas à Becket Church of England Federation

### **Blackboys Church of England Primary School Contact Details:**

	<b>Name</b>	<b>Contact Details</b>
School Office	School Secretary	Email: office@blackboys.e-sussex.sch.uk Telephone: 01825 890423
Headteacher	Mr Sullivan	Email: office@blackboys.e-sussex.sch.uk Telephone: 01825 890423
Chair of Governors	Mr Murray	Email: chair@stbchurchofenglandfed.co.uk Telephone: 01825 890423
Clerk to the Governing Board	Mr Wilmowski	Email: clerk@stbchurchofenglandfed.co.uk Telephone: 01825 890423

### **Framfield Church of England Primary School Contact Details:**

	<b>Name</b>	<b>Contact Details</b>
School Office	School Secretary	Email: office@framfieldcep.e-sussex.sch.uk Telephone: 01825 890258
Headteacher	Mr Bradbeer	Email: office@framfieldcep.e-sussex.sch.uk Telephone: 01825 890258
Chair of Governors	Mr Murray	Email: chair@stbchurchofenglandfed.co.uk Telephone: 01825 890258
Clerk to the Governing Board	Mr Wilmowski	Email: clerk@stbchurchofenglandfed.co.uk Telephone: 01825 890258

## Introduction

The central objective of St Thomas à Becket Church of England Federation, Blackboys Church of England Primary School and Framfield Church of England Primary School (which is referred to as the Federation in the rest of this document) is to create and maintain a safe, happy and healthy learning environment where every pupil can achieve their full potential. The staff and Governing Board work in a spirit of co-operation with parents, carers and other interested parties.

Throughout the process, we will be sensitive to the needs of all parties involved, and make any reasonable adjustments needed to accommodate individuals, as we strive to follow the example of Jesus in showing kindness and compassion to all and a spirit of reconciliation. Our aim is always to bring together our whole school communities and enable all to live life in all its fullness.

In line with the requirements of Section 29 of the Education Act 2002, the Federation:

- has a complaints procedure that is easily accessible, simple to use and easy to understand.
- encourages resolution of concerns by informal means wherever possible.
- addresses all points at issue and provides an effective response and appropriate redress where necessary.
- resolves issues swiftly to established timescales, impartially and in a spirit of co-operation.
- provides a fair investigation by an independent person where necessary.
- respects, as appropriate, confidentiality.
- ensures that the Governing Board regularly monitors complaints received by its schools.
- acts in accordance with the requirements of the General Data Protection Regulations (GDPR) and Data Protection Act 2018.

The Federation prides itself on the quality of the teaching and the pastoral care we provide for our pupils. If parents, carers or members of the public have concerns they can expect the issues they raise to be treated seriously by a Federation school and in accordance with this policy document.

## **The difference between a Concern and a Complaint**

A 'concern' may be defined as '*an expression of worry or doubt over an issue considered to be important for which reassurances are sought*'.

A complaint may be generally defined as '*an expression of dissatisfaction, however made, about actions taken or a lack of action*'.

It is in everyone's interest to resolve concerns and complaints at the earliest stage. Many issues can be resolved informally, without the need for formal procedures. The Federation takes informal concerns seriously and makes every effort to resolve the matter as quickly as possible.

However, there are occasions when complainants would like to raise their concerns formally. In those cases, the Federation will attempt to resolve the issue internally, through the stages outlined within this complaints procedure.

## **Who can make a Complaint?**

Anyone can make a complaint about any facilities or services that the Federation provides, unless separate statutory procedures apply (such as exclusions or admissions), this includes:

- parents or carers of children currently at a Federation school
- parents or carers of children no longer at a Federation school
- members of the public
- a third party acting on behalf of the complainant. In these cases, written consent will be required from the complainant before any information is disclosed.

Anonymous complaints will not normally be investigated. However, the Federation's Headteachers: Mr Sullivan or Mr Bradbeer or Chair of Governors, Mr Murray will determine as appropriate whether the complaint warrants an investigation.

## **How to raise a Concern or make a Complaint**

The majority of concerns or complaints received will be from parents or carers regarding issues relating to an individual child or children. However, there may be occasions where concerns or complaints are received about the Federation's management of wider issues.

In nearly all cases, communicating face to face, between the appropriate member of staff and parent/carer is the most effective way to address concerns or complaints. A good discussion, when all parties are listening to each other and seeking resolutions, will frequently be the most effective and quickest way of resolving issues.

- A concern or complaint can be made in person, by letter or email, or by telephone.
- Complaints concerning a member of staff (except the Headteacher) should be made in the first instance to either Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield

School Headteacher via the relevant school office. Please mark the complaint as Private and Confidential.

- Complaints that involve or are about a Federation Headteacher should be addressed to Mr Murray, the Chair of Governors, via the Clerk to the Governing Board via either school office. Please mark the complaint as Private and Confidential.
- Complaints about the Chair of Governors, any individual Governor or the whole Governing Board should be addressed to the Clerk to the Governing Board via either school office. Please mark the complaint as Private and Confidential.
- **Complainants should not approach individual Governors to raise concerns or complaints. They have no power to act on an individual basis and it may prevent them from considering complaints at stage 2 or 3 of the procedure.**

Some complaints fall outside the Federation's complaints procedure, for example, staff grievances or staff conduct and/or competency (see: Complaints outside the Scope). In these cases, if such an issue is brought to a Federation Headteacher or Chair of Governor's attention, the Federation will follow its own internal personnel processes. The complainant will be informed that an issue is to be considered as part of the Federation's personnel processes but the complainant will not be informed of the outcome of any such considerations.

Complainants are advised to limit the numbers of communications with a Federation school while a complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text) as it could delay the outcome being reached.

Concerns or complaints raised on social media will not receive a response unless raised through these procedures.

Recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded will not be accepted as evidence in the complaints process.

For ease of use, a template complaint form is included at the end of this procedure. If you require help in completing the form, please contact your school office. You can also ask third party organisations like the Citizens Advice to help you.

In accordance with equality law, we will consider making reasonable adjustments if required, to enable complainants to access and complete this complaints procedure. For instance, providing information in alternative formats, assisting complainants in raising a formal complaint or holding meetings in accessible locations or enabling a satisfactory record of any meetings to be made.

## Resolving Complaints

At each stage in the procedure, the Federation seeks to resolve the complaint. If appropriate, we will acknowledge that the complaint is upheld in whole or in part. In addition, we may offer one or more of the following:

- an explanation
- an admission that the situation could have been handled differently or better
- an assurance that we will try to ensure the event complained of will not recur
- an explanation of the steps that have been or will be taken to help ensure that it will not happen again and an indication of the timescales within which any changes will be made
- an undertaking to review Federation policies in light of the complaint

- an apology.

Where further investigations are necessary, new time limits will be set, and the complainant will be sent details of the new deadline with an explanation for the delay.

## Withdrawal of a Complaint

If a complainant wants to withdraw their complaint, we will ask them to confirm this in writing.

## Timescales

The Federation expects that complaints will be made as soon as possible after an incident arises and no later than three months afterwards. If the complaint is about a series of related incidents, they must raise the complaint within 3 months of the last incident. We will consider complaints raised outside this time frame if exceptional circumstances apply and the complaint can still be investigated in a fair manner for all involved.

We will consider complaints made outside of term time to have been received on the first school day after the holiday period.

If at any point we cannot meet the timescales we have set out in this policy, we will:

- set new time limits with the complainant
- send the complainant details of the new deadline and explain the delay.

## Complaints outside the Scope

Some complaints will be outside the scope of this procedure as there are separate statutory procedures to deal with such issues. These include:

Complaints outside the scope	Who to contact
<ul style="list-style-type: none"> <li>• Admissions to schools</li> <li>• Statutory assessments of Special Educational Needs (SEN)</li> <li>• School re-organisation proposals</li> </ul>	<p>Concerns about admissions, statutory assessments of Special Educational Needs, or school re-organisation proposals should be raised with East Sussex County Council.</p>
<ul style="list-style-type: none"> <li>• Matters likely to require a Child Protection Investigation</li> </ul>	<p>Complaints about child protection matters are handled under our Child Protection and Safeguarding Policy and in accordance with relevant statutory guidance.</p> <p>If you have serious concerns, you may wish to contact the Local Authority Designated Officer (LADO) who has local responsibility for safeguarding or the Multi-Agency Safeguarding Hub (MASH).</p> <p><b>Local Authority Designated Officer (LADO):</b> <b>01323 747373 / 07825 782793</b></p>

<ul style="list-style-type: none"> <li>Exclusion of children from school</li> </ul>	<p>Further information about raising concerns about exclusions is available in the Federation's Exclusion Policy.</p> <p>Complaints about the application of the Relationships and Behaviour Policies can be made through the Federation's Complaints Procedure.</p>
<ul style="list-style-type: none"> <li>Whistleblowing</li> </ul>	<p>We have an internal Whistleblowing Procedure for all our employees, including temporary staff and contractors.</p> <p>The Secretary of State for Education is the prescribed person for matters relating to whistleblowers in education who do not want to raise matters direct with their employer. Referrals can be made at: <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a>.</p> <p>Volunteer staff who have concerns about our schools should complain through the Federation's Complaints Procedure. You may also be able to complain direct to the Local Authority (LA) or the Department for Education (see contact details above), depending on the substance of your complaint.</p>
<ul style="list-style-type: none"> <li>Staff Grievances</li> </ul>	<p>These matters will be addressed under the Federation's internal Grievance Procedure.</p>
<ul style="list-style-type: none"> <li>Staff Conduct and/or Competency Complaints</li> </ul>	<p>Complaints about staff will be dealt with under the Federation's internal personnel procedures, if appropriate.</p> <p>Complainants will not be informed of any outcomes of the complaint or action taken in relation to a staff member as a result of a complaint. However, the complainant will be notified that the matter is being addressed.</p>
<ul style="list-style-type: none"> <li>Complaints about services provided by other providers who may use Federation premises or facilities.</li> </ul>	<p>The Federation will direct complainants to follow the external provider's own Complaints Procedure.</p>
<ul style="list-style-type: none"> <li>Complaints about the curriculum</li> </ul>	<p>Please contact the Department for Education at <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a></p>
<ul style="list-style-type: none"> <li>Complaints about Collective Worship</li> </ul>	<p>Complaints about the content of the Daily Act of Collective Worship (DACW) should be addressed to:</p> <ul style="list-style-type: none"> <li><u>the local Standing Advisory Council on Religious Education</u></li> <li>other relevant body, e.g. the appropriate Diocese: <ul style="list-style-type: none"> <li>Diocese of Chichester</li> </ul> </li> </ul>

<ul style="list-style-type: none"> <li>• Withdrawal from the Curriculum</li> </ul>	<p>Parents and carers can withdraw their child from any aspect of Religious Education (RE), including the DACW. They do not have to explain why.</p> <p>If parents or carers are not satisfied with the handling of a request to withdraw their child from RE or the DACW, Federation schools should advise them to follow their Complaints Procedure.</p> <p>The right of withdrawal does not apply to other areas of the curriculum where religious matters may be spontaneously raised by pupils or arise in other subjects such as history or citizenship.</p> <p>Should parents wish to withdraw their child from relationships and sex education they should refer to the Federation's Relationships and Sex Education policy.</p>
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If other bodies are investigating aspects of the complaint, for example the police, Local Authority (LA) safeguarding teams or Tribunals, this may impact on our ability to adhere to the timescales within this procedure or result in the procedure being suspended until those public bodies have completed their investigations.

If a complainant commences legal action against a Federation school in relation to their complaint, we will consider whether to suspend the complaints procedure in relation to their complaint until those legal proceedings have concluded.

## Complaint Campaigns

If a Federation school receives a large volume of complaints which are all based on the same subject and/or from complainants unconnected with the school, the Federation school will send a template response to all complainants and publish a single response on its school's website.

## Duplicate Complaints

After closing a complaint at the end of the complaints procedure if a duplicate complaint is received about the same matter from a connected party, the duplicate complainant will be informed the Federation school has already considered the complaint and the local process is now complete. They will be sent a summary of the outcome of the original complaint, with all confidential information redacted. The new complainant will be advised to contact the DfE if there is dissatisfaction with the original handling of the complaint.

## Use of Social Media

The Federation considers that the promotion and continuance of a positive, healthy and safe school community ethos depends upon all members of the school community contributing to and



being responsible for maintaining this. The Federation therefore actively encourages any individual with a concern or complaint to raise it as soon as possible within the process described.

For the same reason, the Federation strongly discourages the use of social media in this respect and asks parents and carers and others of the school community not to post on social media any issues which should more appropriately be dealt with within the Federation's processes for concerns and complaints.

## **The Complaints Procedure**

***This stage does not apply to complaints against a Federation Headteacher, a Governor or the Governing Board.***

### **Informal**

As referred to earlier in this policy, the intention of the Federation is to create and maintain a safe, happy and healthy learning environment and the staff and Governing Board seek to establish a spirit of co-operation with parents, carers and other interested parties to ensure that a positive school community ethos is established to achieve and maintain this.

It is in everyone's interest to resolve concerns at the earliest stage and the Federation's experience is that many issues can be resolved by proactive and timely discussion between the appropriate people. The Federation therefore takes informal concerns extremely seriously and will make every effort to resolve the matter quickly and effectively.

In order to assist this, the concern should be raised as soon as possible with the relevant member of staff or the relevant Federation Headteacher as appropriate, either in person or by letter, telephone or email. If the person with the concern is unclear who to contact or the process involved the relevant school office will be able to assist.

If the person with the concern has a difficulty discussing it with a particular member of staff, we will respect your views. In these cases, you will be referred to another staff member. Similarly, if the member of staff directly involved feels unable to deal with a concern, Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher, will refer you to another staff member. The member of staff may be more senior but does not have to be. The ability to consider the concern objectively and impartially is more important.

If, however, a resolution is not reached and/or the person with the concern is dissatisfied with the outcome then they may wish to escalate the complaint to Stage 1 of the Complaints Procedure.

### **Stage 1 – Review by Federation Headteacher**

***This stage does not apply to complaints against a Federation Headteacher, a Governor or the Governing Board.***

*The Federation Headteacher may delegate the investigation to another member of the Federation's Senior Leadership Team but not the decision to be taken.*

The complaint must be made to Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher via the relevant school office. This may be done in person, by

letter (preferably on the complaint form), by email or telephone. The Federation Headteacher will record the date the complaint is received and will acknowledge receipt in writing, by letter or email, within 5 school days. Within this response, Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher if needed will seek to clarify the nature of the complaint, what remains unresolved and what outcome the complainant would like to see. The Federation Headteacher will indicate if a face to face meeting is the most appropriate way to secure clarification or whether the complainant should respond by letter or email.

At the conclusion of the investigation, Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher will provide a formal written response within 15 school days of the receipt of the complaint. If Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher is unable to meet this deadline, they will provide the complainant with an update and revised response date.

The response will refer to actions taken to investigate the complaint and an explanation of the outcome and the reason(s) for it. Where appropriate, it will include details of actions the Federation school will take to resolve the complaint and any other actions the Federation will take to improve policy and practice.

Mr Sullivan, Blackboys School Headteacher or Mr Bradbeer, Framfield School Headteacher will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 1. Should the complainant wish to escalate their complaint to Stage 2, they must do so within 10 school days of the date of the formal written response setting out the outcome of the Stage 1 process.

## **Stage 2 – Investigation by Governing Board**

Complaints at this stage are in one of three categories:

1. The complainant is not satisfied with the outcome at Stage 1.
2. The complaint is about a Federation Headteacher, or a member of the Governing Board (including the Chair **or** Vice-Chair).
3. The complaint is about:
  - the Chair **and** Vice Chair or
  - the majority of the Governing Board or
  - the entire Governing Board

For all 3 categories above the complainant must write to or email (preferably on the complaint form with the desired outcomes clearly stated) the Clerk to the Governing Board via the relevant school office to request that their complaint is considered at Stage 2. They should set out the details of the complaint and include appropriate evidence. It is critical that the complainant should also specify what they feel would resolve the complaint, and how they feel the previous stage of the procedure has not addressed their complaint sufficiently if this applies.

The Clerk will record the date the complaint is received and will acknowledge receipt in writing, by letter or email, within 5 school days.

Complaints in categories 1 and 2 above will be investigated by Mr Murray, Chair of Governors or a suitably skilled Governor. For complaints received in category 3, the Clerk will seek advice from the Local Authority or the Diocese of Chichester if appropriate, as to how the complaint should be dealt with and the complainant will be advised accordingly. The Local Authority or the Diocese may recommend an independent Governor to investigate the complaint. An independent Governor is a suitably skilled Governor from outside the Governing Board, with no prior exposure to the complaint that is currently under investigation.

The Chair of Governors / nominated Governor will investigate the complaint and contact the complainant if they feel a face-to-face meeting, a phone call or a virtual meeting would be helpful.

At the conclusion of the investigation, the Chair of Governors / nominated Governor will provide a formal written response within 15 school days of the receipt of the complaint. If they are unable to meet this deadline, they will provide the complainant with an update and revised response date.

The response will refer to actions taken to investigate the complaint and an explanation of the outcome and the reason(s) for it. Where appropriate, it will include details of actions the Federation school will take to resolve the complaint and any other actions the Federation will take to improve policy and practice.

Where further investigations are necessary, new time limits will be set, and the complainant will be sent details of the new deadline with an explanation for the delay.

The Chair of Governors / Investigator will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 2. Should the complainant wish to escalate their complaint to Stage 3, they must do so within 10 school days of the date of the formal written response setting out the outcome of the Stage 2 process.

### **Stage 3 – Governing Board Review Panel**

This is the final stage of the Federation's Complaints Procedure.

The complainant must write to or email (preferably on the complaint form) the Clerk of the Governing Board within 10 school days of the date of the formal written response setting out the outcome of the Stage 2 process. For category 1 complaints, requests outside of this timeframe will only be considered if the Chair of Governors considers exceptional circumstances apply. For requests outside this timeframe for category 2 and 3 complaints, the Clerk will seek advice from the Local Authority as to how this matter should be dealt with. The Local Authority may recommend an independent panel should be convened, made up of suitably skilled Governors from outside the Board. An independent Governor is a suitably skilled Governor from outside the Governing Board, with no prior exposure to the complaint that is currently under investigation.

The complainant should set out the details of their complaint and include appropriate evidence. They should also specify what they feel would resolve the complaint, and how they feel the previous stages of the procedure have not addressed their complaint sufficiently.

The complainant must have reasonable notice of the date of the review panel; however, the review panel reserves the right to convene at their convenience rather than that of the complainant and reach a conclusion in the interests of drawing the complaint to a close. If the complainant rejects the offer of 3 proposed dates, the Clerk will set a date. The hearing will go ahead using written submissions from both parties.

### Stage 3: Timeline

1.	Within 5 school days of receipt of the new complaint form from the complainant, with the desired outcomes from the panel hearing clearly stated, the Clerk will arrange a Governing Board Review Panel.
2.	The panel sets a date to meet as soon as reasonably practical but no longer than 15 school days from the date of the acknowledgement of the letter of complaint. However, where further investigations are necessary, new time limits may be set. The complainant will be sent details of the new date and an explanation for the delay.
3.	Any evidence and documentation that the complainant and Federation school wish to submit in relation to their complaint must be sent to the Clerk to the Governing Board at least 5 school days before the meeting. All relevant papers* will be circulated to the complainant and the Federation school representatives attending the meeting, as well as the Governing Board Review Panel. Recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded will not be accepted as evidence.
4.	If the complainant or Federation school wishes to call witnesses the names of these witnesses must be advised to the Clerk at least 5 school days before the meeting. The complainant and Federation school are responsible for ensuring that the witnesses are aware of the time, date and location of the meeting. If the complainant wishes to be accompanied by a suitable companion, this should not be legal representation as the Governing Board Review Panel is not a form of legal proceedings, the name of the suitable companion must be advised to the Clerk 5 school days before the meeting.
5.	If the Governing Board Review Panel cannot meet because the end of term is less than 15 days from the date of acknowledgement of the letter of complaint, it must meet within 10 days of the start of the new term.
6.	The Governing Board Review Panel will communicate their findings to the complainant and all relevant Federation school parties within 10 school days of their meeting.

\* Some papers maybe withheld or redacted due to the confidential nature of the content and in accordance with Data Protection Guidance and GDPR.

### Stage 3: Panel Membership

The panel consists of 3 Governors, with no prior involvement or knowledge of the complaint. One of the Governors will act as the Panel Chair. If there are fewer than 3 governors from the Federation available, the Clerk will source any additional, independent Governors through another local school or through the East Sussex Governor and Clerking Service, in order to make up the panel. In some cases, it may not be suitable for Governors who are parents to sit on the panel. The Clerk to the Governors will seek advice from the Local Authority in those instances, which will then be communicated back to all relevant parties.

### **Stage 3: Hearing Process**

The Governing Board Review Panel will consider all stages of the complaint. This is to make sure that decisions are not taken in isolation and there is a mechanism by which decisions are considered independently. If a new issue arises the panel will use its discretion to decide if it is appropriate to consider and comment upon it; this may require a short adjournment of the meeting. However, the panel may decide it is not appropriate to review any new complaints at this stage or for any evidence unrelated to the initial complaint to be included. In these circumstances, new complaints must be dealt with from the informal stage of the procedure.

The aim of the hearing, which will be held in private, will always be to resolve the complaint and achieve reconciliation between the Federation school and the complainant. However, it must be recognised that the complainant might not be satisfied with the outcome if the hearing does not find in their favour. It may only be possible to establish the facts and make recommendations that will satisfy the complainant that his or her complaint has been taken seriously.

At the panel hearing, the complainant and representatives from the Federation school, as appropriate, will be present. Each will have an opportunity to set out written submissions prior to the meeting. Any written material provided by the complainant or the Federation school/Headteacher will be seen by everyone on the panel and attending the hearing. Any confidential information will be redacted from the papers, so as not to breach Data Protection Regulations and to ensure there are no subsequent safeguarding issues.

- The complainant must be allowed to attend the panel hearing and be accompanied by a suitable companion if they wish; this should not be legal representation as the Governing Board Review Panel is not a form of legal proceedings. However, if a Federation employee is called as a witness in a complaint meeting, they may be entitled to ask to be supported by their union.
- Representatives from the media are not permitted to attend.
- Both the complainant and the Federation school will have the opportunity of putting their case within a reasonable specified time limit without undue interruption so that the issues are addressed, and key findings of fact established.
- At the meeting, the complainant and the Federation school will have the opportunity to give statements and present their evidence. Witnesses will also be called as appropriate to present their evidence.
- The panel, the complainant and the Federation school representative will be given the chance to ask and reply to questions. Once the panel considers they have all the information required the complainant, Federation school representatives and witnesses will be asked to leave, and evidence will then be considered.
- The Clerk will be present at the hearing and will take notes which are designed to serve as an aide memoir for the panel. The Panel Chair can decide whether to circulate the notes as output of the meeting. They are not a verbatim record of the meeting and should not be referred to as 'minutes'.

The panel will consider the complaint and all the evidence presented. The panel can:

- uphold the complaint in whole or in part
- dismiss the complaint.

If the complaint is upheld in whole or in part, the panel will:

- decide on the appropriate action to be taken to resolve the complaint
- where appropriate, recommend changes to the Federation school's systems or procedures to prevent similar issues in the future.

The Chair of the Panel will provide the complainant and the Federation school with a full explanation of their decision and the reason(s) for it, in writing within 10 school days. The letter will include details of how to contact the School Complaints Unit (SCU), which investigates complaints relating to maintained schools on behalf of the Secretary of State.

Please see section on Further Recourse to follow.

## **Further Recourse**

If the complainant is unsatisfied with the outcome of the Federation's Complaints Procedure, they can refer their complaint to the School Complaints Unit (SCU), which investigates complaints relating to maintained schools on behalf of the Secretary of State.

The SCU will not re-investigate the matter of the complaint. It will look at whether the Federation's Complaints Policy and any other relevant statutory policies that the Federation holds were adhered to. The SCU also looks at whether the Federation's statutory policies adhere to education legislation. It may direct the Federation to re-investigate the complaint where it is clear the Federation school has acted unlawfully or unreasonably.

For more information or to refer a complaint, see the following webpage:  
<https://www.gov.uk/complain-about-school>

We will include this information in the outcome letter to complainants.

## **Serial and unreasonable complaints**

The Federation is committed to dealing with all complaints fairly and impartially, and to providing a high quality service to those who complain. We will not normally limit the contact complainants have with our school. However, we do not expect our staff to tolerate unacceptable behaviour and will take action to protect staff from that behaviour, including that which is abusive, offensive or threatening.

The Federation defines unreasonable behaviour as that which hinders our consideration of complaints because of the frequency or nature of the complainant's contact with the school, such as, if the complainant:

- refuses to articulate their complaint or specify the grounds of a complaint or the outcomes

sought by raising the complaint, despite offers of assistance

- refuses to co-operate with the complaints investigation process
- refuses to accept that certain issues are not within the scope of the complaints procedure
- insists on the complaint being dealt with in ways which are incompatible with the complaints procedure or with good practice
- introduces trivial or irrelevant information which they expect to be taken into account and commented on
- raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales
- makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced
- changes the basis of the complaint as the investigation proceeds
- repeatedly makes the same complaint (despite previous investigations or responses concluding that the complaint is groundless or has been addressed)
- refuses to accept the findings of the investigation into that complaint where the school's complaint procedure has been fully and properly implemented and completed including referral to the Department for Education
- seeks an unrealistic outcome
- makes excessive demands on school time by frequent, lengthy and complicated contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with
- uses threats to intimidate
- uses abusive, offensive or discriminatory language or violence
- knowingly provides falsified information
- publishes unacceptable information on social media or other public forums.

Complainants should try to limit their communication with the school that relates to their complaint, while the complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text), as it could delay the outcome being reached.

Whenever possible, the headteacher or Chair of Governors will discuss any concerns with the complainant informally before applying an 'unreasonable' marking. If the behaviour continues, the headteacher will write to the complainant explaining that their behaviour is unreasonable and ask them to change it.

For complainants who excessively contact The Federation causing a significant level of disruption, we may specify methods of communication and limit the number of contacts in a communication plan. This will be reviewed after six months.

In response to any serious incident of aggression or violence, we will immediately inform the police and communicate our actions in writing. This may include barring an individual from The Federation.

## **Review**

This policy will be reviewed as it is deemed appropriate, but no less frequently than every three years. The policy review will be undertaken by the Federation's Headteachers and the Governing Body's Policies Working Party.



## **Appendix A: Roles and Responsibilities**

### **Complainant**

The complainant will get a more effective and timely response to their complaint if they:

- Follow these procedures.
- Co-operate with the Federation school throughout the process and respond to deadlines and communication promptly.
- Treat all those involved with respect.
- Explain the complaint in full as early as possible.
- Co-operate with the Federation school in seeking a solution to the complaint.
- Ask for assistance as needed.
- Refrain from publicising the details of their complaint on social media and respect confidentiality.

### **Investigating Governor**

An impartial Governor, with no prior knowledge of the complaint, will be appointed to investigate the complaint and establish the facts. They will:

- Interview all relevant parties, keeping notes.
- Considering Federation Policies, records and any written evidence and keep these securely.
- Prepare a comprehensive report to the Federation Headteacher or complaints panel which includes the facts and potential solutions.

The investigator's role is to establish the facts relevant to the complaint by:

- Providing a comprehensive, open, transparent and fair consideration of the complaint through:
  - sensitive and thorough interviewing of the complainant to establish what has happened and who has been involved
  - interviewing staff and children/young people and other people relevant to the complaint
  - considering records and other relevant information
  - analysing information.
- Liaising with the complainant and relevant school parties as appropriate to clarify what the complainant feels would put things right.

The investigator should:

- Conduct interviews with an open mind and be prepared to persist in the questioning.
- Keep notes of interviews or arrange for an independent notetaker to record notes from the meeting.
- Ensure that any papers produced during the investigation are kept securely pending any appeal.
- Be mindful of the timescales to respond.

- Prepare a comprehensive report for the Federation Headteacher or complaints panel that sets out the facts, identifies solutions and recommends courses of action to resolve problems.

The Federation Headteacher or complaints panel will then determine whether to uphold or dismiss the complaint and communicate that decision to the complainant, providing the appropriate escalation details.

## **Clerk to the Governing Body**

The Clerk will:

- Be the contact point for the complainant and the Governing Board Panel, including circulating the relevant papers and evidence before complaints panel meetings.
- Arrange the complaints hearing, including sending invitations to virtual meetings as appropriate.
- Take notes during the panel hearing, as an aide memoir to the panel. All parties should note that the Clerk does not take verbatim minutes during the panel meeting.
- Provide advisory support to the panel as they draft an outcome letter from the complaints hearing.
- Maintain a full record of the complaint at all stages.
- Notify all parties of the panel's decision by distributing the hearing outcome letter and notes.

## **Panel Chair**

The panel chair will:

- Chair the meeting, ensuring that everyone is treated with respect throughout.
- Make sure all parties see the relevant information, understand the purpose of the panel, and are allowed to present their case.
- Ensure the meeting is conducted in an appropriate manner, is not adversarial, and that, if all parties are invited to attend, everyone is treated with respect and courtesy.
- Seek to put complainants who may not be used to speaking at such a meeting at ease. This is particularly important if the complainant is a child/young person or a vulnerable adult.
- Ensure the remit of the panel is explained to the complainant.
- Ensure written material is seen by everyone in attendance, provided it does not breach confidentiality or any individual's rights to privacy under the DPA 2018 or GDPR. The Chair must remind all parties of this before the panel meeting begins.
- With input from the other panel members and advisory support from the Clerk, draft the outcome letter, as output of the complaints hearing.

If a new issue arises it would be useful to give everyone the opportunity to consider and comment upon it; this may require a short adjournment of the meeting

- Ensure both the complainant and the Federation school are given the opportunity to make their case and seek clarity, either through written submissions ahead of the meeting or verbally in the meeting itself.

- Enable the issues to be addressed and key findings of fact are made if appropriate.
- Ensure the panel is open-minded and acts independently.
- Check no member of the panel has an external interest in the outcome of the proceedings or any involvement in an earlier stage of the procedure.
- Ensure the outcome of the hearing is recorded.
- Liaise with the Clerk in order to receive advisory support.

## **Panel Member**

Panel members should be aware that:

- The meeting must be independent and impartial and should be seen to be so. No Governor may sit on the panel if they have had a prior involvement in the complaint or in the circumstances surrounding it.
- The aim of the meeting should be to resolve the complaint and achieve reconciliation between the Federation school and the complainant.
- The complainant might not be satisfied with the outcome if the meeting does not find in their favour. It may only be possible to establish the facts and make recommendations.
- Many complainants will feel nervous and inhibited in a formal setting.
- Parents/carers often feel emotional when discussing an issue that affects their child.
- Extra care needs to be taken when the complainant is a child/young person and present during all or part of the meeting. Careful consideration of the atmosphere and proceedings should ensure that the child/young person does not feel intimidated. The panel should respect the views of the child/young person and give them equal consideration to those of adults. If the child/young person is the complainant, the panel should ask in advance if any support is needed to help them present their complaint. Where the child/young person's parent is the complainant, the panel should give the parent the opportunity to say which parts of the meeting, if any, the child/young person needs to attend. However, the parent should be advised that agreement might not always be possible if the parent wishes the child/young person to attend a part of the meeting that the panel considers is not in the child/young person's best interests. The welfare of the child/young person is paramount.

## Appendix B: Complaint Form

### St Thomas à Becket Church of England Federation

*Blackboys Church of England Primary School/  
Framfield Church of England Primary School\**

<b>Your desired outcome(s)</b>			
<b>What are you hoping to achieve?</b>		<b>What benefits / impact would this have on the wider school environment</b>	
<b>Key points of your complaint</b>			
<b>Please summarise the key issues for you</b>		<b>What has been the impact on you / child / other?</b> <i>Please provide evidence where possible</i>	
1.			
2.			
3.			
4.			
5.			
<b>Timeline - please summarise the sequence of events – in date/time order</b>			
<b>Please give clear references and indications of documents, records, policies and recorded communications that help us understand your point of view and your case.</b>			
<b>Date</b>	<b>Who</b>	<b>What Action (including documents / records / evidence)</b>	<b>Outcome / Response?</b>

\* Delete as appropriate.